JAN 05 2006 W

PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/662.352 Filing Date TRANSMITTAL September 16, 2003 First Named Inventor **FORM** Michael Y. FRANKEL Art Unit 2883 **Examiner Name** Jerry M. Blevins (to be used for all correspondence after initial filing) Attorney Docket Number 10.0480 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply (16 pages) Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Identify Terminal Disclaimer below): **Extension of Time Request** Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Return Receipt Postcad Incomplete Application Express Mail Certificate Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name DOUGHERTY CLEMENTS Signature Printed name Christopher L. Bernard Date Reg. No. January 5, 2006 48.234 **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date January 5, 2006 Barbara C. Brown Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



EXPRESS MAIL CERTIFICATE

Express Mail No.:

- EV 860438966 US

Date of Deposit:

- January 5, 2006

Type of Document(s):

- Transmittal Form (PTO/SB/21)

- Notice of Non-Compliant Amendment (16 pages)

- Postcard Receipt

Application No.:

- 10/662,352

Filed:

- September 16, 2003

Applicant(s):

- Michael Y. FRANKEL.

Title:

- RECONFIGURABLE OPTICAL NODE WITH

DISTRIBUTED SPECTRAL FILTERING

Examiner:

- Jerry M. Blevins

Art Unit:

- 2883

Attorney Docket No.:

- 10.0480

I hereby certify that the above-referenced documents are being/have been deposited with the United States Postal Service "Express Mail Post Office to Addressee" in accordance with 37 CFR 1.10 on the above-referenced date and are being/have been addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 USA.

Barkara C. Brown
Barbara C. Brown

Paralegal